

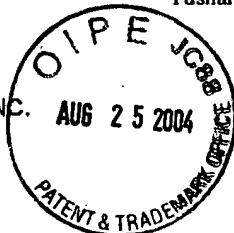


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/826,620	04/16/2004	Tushar Saxena	03-4025

32127
 VERIZON CORPORATE SERVICES GROUP INC.
 C/O CHRISTIAN R. ANDERSEN
 600 HIDDEN RIDGE DRIVE
 MAILCODE HQEO3H14
 IRVING, TX 75038



CONFIRMATION NO. 1310

FORMALITIES LETTER



OC000000013075501

Date Mailed: 06/25/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

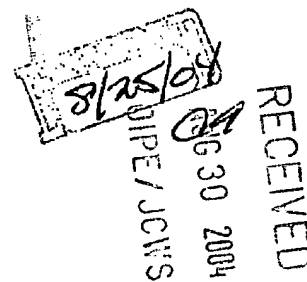
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450



*A copy of this notice **MUST** be returned with the reply.*

08/30/2004 CCHAU1 00000016 072347 10826620

01 FC:1051 130.00 DA



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 07/31/2008. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
It displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

Complete if Known

Application Number	10/826,620
Filing Date	April 16, 2004
First Named Inventor	Tushar Saxena
Examiner Name	Not yet assigned
Art Unit	2661
Attorney Docket No.	03-4025

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order
☒ Deposit Account:Deposit
Account
Number

07-2347, Reference: 03-4025

Deposit
Account
Name

Verizon

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1001	770		2001	385	Utility filing fee	
	1002	340		2002	170	Design filing fee	
	1003	530		2003	265	Plant filing fee	
	1004	770		2004	385	Reissue filing fee	
	1005	160		2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20 **			
Independent Claims	3 **	0	0
Multiple Dependent			0

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	1202	18		2202	9	Claims in excess of 20
	1201	88		2201	43	Independent claims in excess of 3
	1203	290		2203	145	Multiple dependent claim, if not paid
	1204	88		2204	43	** Reissue independent claims over original patent
	1205	18		2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1051	130		2051	65	Surcharge - late filing fee or oath	130
	1052	50		2052	25	Surcharge - late provisional filing fee or cover sheet	
	1053	130		2053	130	Non-English specification	
	1812	2,520		2812	2,520	For filing a request for reexamination	
	1804	920*		2804	920*	Requesting publication of SIR prior to Examiner action	
	1805	1,840*		2805	1,840*	Requesting publication of SIR after Examiner action	
	1251	110		2251	55	Extension for reply within first month	
	1252	420		2252	210	Extension for reply within second month	
	1253	950		2253	475	Extension for reply within third month	
	1254	1,480		2254	740	Extension for reply within fourth month	
	1255	2,010		2255	1,005	Extension for reply within fifth month	
	1401	330		2401	165	Notice of Appeal	
	1402	330		2402	165	Filing a brief in support of an appeal	
	1403	290		2403	145	Request for oral hearing	
	1451	1,510		2451	1,510	Petition to Institute a public use proceeding	
	1452	110		2452	55	Petition to revive - unavoidable	
	1453	1,330		2453	665	Petition to revive - unintentional	
	1501	1,330		2501	665	Utility issue fee (or reissue)	
	1502	480		2502	240	Design issue fee	
	1503	640		2503	320	Plant issue fee	
	1460	130		2460	130	Petitions to the Commissioner	
	1807	50		2807	50	Processing fee under 37 CFR 1.17 (q)	
	1806	180		2806	180	Submission of Information Disclosure Stmt	
	8021	40		28021	40	Recording each patent assignment per property (times number of properties)	
	1809	770		2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
	1810	770		2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
	1801	770		2801	385	Request for Continued Examination (RCE)	
	1802	900		2802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$130)

SUBMITTED BY

Name (Print/Type)

Joel Wall

Signature

Registration No.
(Attorney/Agent)

25,648

Telephone

(972) 718-4800

Date

August 25, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

AUG 25 2004

**Intellectual Property Law Group****Verizon Corporate Services Group Inc**

600 Hidden Ridge Drive
Irving, Texas 75038
MailCode HQE03H14
Phone: 972/718-4800
Fax: 972/718-3946
E mail:
christian.andersen@verizon.com

To: U.S. Patent and Trademark Office – From: Christian R. Andersen
MAIL STOP MISSING PARTS Sr. Paralegal – Intellectual Property
Examiner: Unassigned
Group Art Unit: 26661

Fax: 703-872-9306 Pages
with 9
Cover:


FORMAL SUBMISSION OF:

- | | |
|----------------------------------------------|------------------------------------------------------------------------|
| 1) Response to Notice to File Missing Parts; | 4) Assignment Recordation Cover Sheet; and |
| 2) Declaration and Power of Attorney; | 5) Copy of Notice to File Missing Party of Nonprovisional Application. |
| 3) Assignment; | |

Title: METHODS AND SYSTEMS FOR REDUCING THE SPREAD OF FILES ON A NETWORK
Serial No. 10/826,620
Filing Date: April 16, 2004
First Named Inventor: Tushar SAXENA
Atty. No. 03-4025

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the above-referenced documents are facsimile transmitted to the Patent and Trademark Office on the date shown below:


Christian R. Andersen

Date of Transmission: August 25, 2004

#1742 v1

RECEIVED
AUG 30 2004
OPE/JCWS

AUG 25 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tushar Saxena

Serial No: 10/826,620

Art Unit: 2661

Filed: April 16, 2004

Attorney Document No. 03-4025

For: Methods and Systems for
Reducing the Spread of Files on a
Network

RESPONSE TO NOTICE TO FILE MISSING PARTS

OIPE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed are the following: (1) copy of the Notice to File Missing Parts; (2) Executed Declaration and Power of Attorney for Patent Application; (3) Fee Transmittal Form; and (4) a FAX transmittal sheet.

Applicant's attorney hereby authorizes the Office to charge any additional fees which may be required to Deposit Account No. 07-2347.

Respectfully Submitted,

Date: August 25, 2004

Customer No: 32127
Verizon Corporate Services Group, Inc.
600 Hidden Ridge Drive
Mail Code: HQE03H14
Irving, TX 75038
(972) 718-4800

Joel Wall
Reg. No. 25,648



Page 2 of 2

M - NAILE

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Initial Patent Examination Division (703) 308-1202

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